



RW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew M. Duggan, et al.

Serial No.: 10/809,042

Confirmation No.: 5804

Filed: March 25, 2004

For: TUBING EXPANSION

§
§
§
§
§
§
§
§
§

Group Art Unit: 3725

Examiner: Unknown

Customer No.: 36735

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF MAILING	
37 CFR 1.8	
I hereby certify that this correspondence is being deposited with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>2 NOV 2005</u>	
William B. Patterson	<i>William B. Patterson</i>
Typed Name	Signature
34,102	(713)623-4844
Reg. No. if applicable	Telephone Number

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

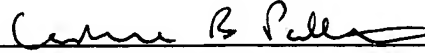
While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

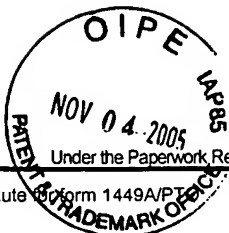
The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/CRUI/0012/WBP.

Respectfully submitted,



William B. Patterson
Registration No. 34,102
PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd. Suite 1500
Houston, TX 77056
Telephone: (713) 623-4844
Facsimile: (713) 623-4846
Attorney for Applicants



PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/809,042
Filing Date	March 25, 2004
First Named Inventor	Andrew M. Duggan
Art Unit	3725
Examiner Name	Unknown
Attorney Docket Number	CRUI/0012

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 761,518	05-31-1904	Lykken	
		US- 1,324,303	12-09-1919	Carmichael	
		US- 1,545,039	07-07-1925	Deavers	
		US- 1,561,418	11-10-1925	Duda	
		US- 1,569,729	01-12-1926	Duda	
		US- 1,597,212	08-24-1926	Spengler	
		US- 1,930,825	10-17-1933	Raymond	
		US- 2,383,214	08-21-1945	Prout	
		US- 2,499,630	03-07-1950	Clark	
		US- 2,627,891	02-10-1953	Clark	
		US- 2,663,073	12-22-1953	Bieber, et al.	
		US- 2,898,971	09-11-1959	Hempel	
		US- 3,087,546	04-30-1963	Wooley	
		US- 3,195,646	07-20-1965	Brown	
		US- 3,467,180	09-16-1969	Pensotti	
		US- 3,785,193	01-15-1974	Kinley, et al.	
		US- 3,818,734	06-25-1974	Bateman	
		US- 3,911,707	10-14-1975	Minakov, et al.	
		US- 4,069,573	01-24-1978	Rogers, Jr., et al.	
		US- 4,127,168	11-28-1978	Hanson, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		GB 1 485 099	09-08-1977	Rothenberger GmbH		
		GB 2 086 282	05-12-1982	Hauschke, et al.		
		WO 84/00120	01-19-1984	Rast		
		WO 93/24728	12-09-1993	Simpson, et al.		
		GB 2 320 734	07-01-1998	Coronado, et al.		
		EP 0 961 007	12-01-1999	Gano, et al.		
		WO 99/18328	04-15-1999	Bailey, et al.		
		WO 99/23354	05-14-1999	Metcalfe		
		WO 00/37766	06-29-2000	Simpson		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	10/809,042
Filing Date	March 25, 2004
First Named Inventor	Andrew M. Duggan
Art Unit	3725
Examiner Name	Unknown
Attorney Docket Number	CRUI/0012

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 4,159,564	07-03-1979	Cooper, Jr.	
		US- 4,288,082	09-08-1981	Setterberg, Jr.	
		US- 4,324,407	04-13-1982	Upham, et al.	
		US- 4,429,620	02-07-1984	Burkhardt, et al.	
		US- 4,531,581	07-30-1985	Pringle, et al.	
		US- 4,588,030	05-13-1986	Blizzard	
		US- 4,697,640	10-06-1987	Szarka	
		US- 4,848,469	07-18-1989	Baugh, et al.	
		US- 5,083,608	01-28-1992	Abdrakhmanov, et al.	
		US- 5,271,472	12-21-1993	Leturno	
		US- 5,409,059	04-25-1995	McHardy	
		US- 5,435,400	07-25-1995	Smith	
		US- 5,472,057	12-05-1995	Winfree	
		US- 5,560,426	10-01-1996	Trahan, et al.	
		US- 5,685,369	11-11-1997	Ellis, et al.	
		US- 5,901,787	05-11-1999	Boyle	
		US- 6,021,850	02-08-2000	Wood, et al.	
		US- 6,098,717	08-08-2000	Bailey, et al.	
		US- 6,325,148	12-04-2001	Trahan, et al.	
		US- 6,425,444	07-30-2002	Metcalfe, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	3	of	3
-------	---	----	---

Complete if Known

<i>Application Number</i>	10/809,042
<i>Filing Date</i>	March 25, 2004
<i>First Named Inventor</i>	Andrew M. Duggan
<i>Art Unit</i>	3725
<i>Examiner Name</i>	Unknown
<i>Attorney Docket Number</i>	CRUI/0012

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.